League InfoSight Resources

Fraud Channel and MORE!

LeagueInfoSight

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Relationship & Regulatory Compliance Manager
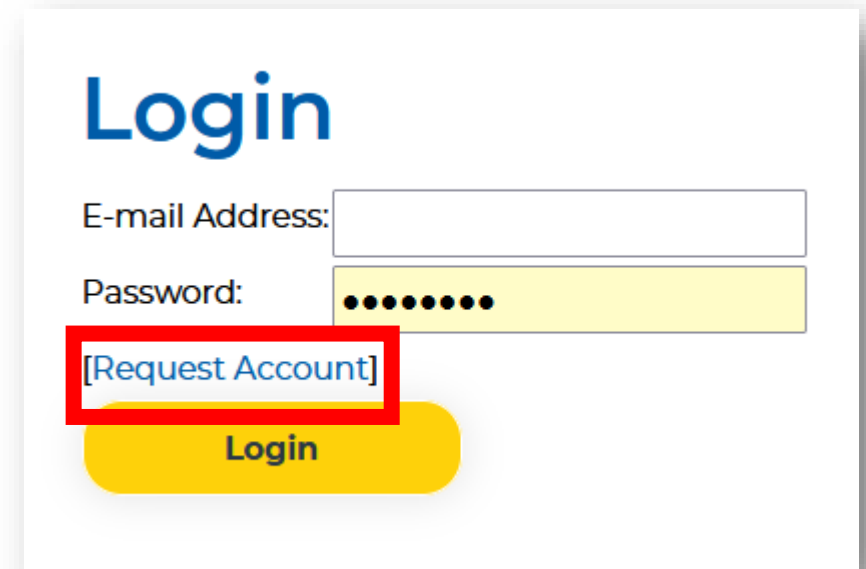Emma.Nelson@LeagueInfoSight.com

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Where can I find out if I have access to InfoSight?

- Access to InfoSight is through your League/Association website! As a benefit of membership, you will typically find a link under Compliance or Member Resources!

- If you don't have access, you can typically request access or an account directly from the login screen.

- You can also go directly to your League/Association's InfoSight page from here:
  - https://www.leagueinfosight.com/states

Who should use InfoSight?

- **Compliance Staff**
- **Everyone Else!** InfoSight is **NOT** just for the Compliance Officer! Compliance touches every area of the credit union and InfoSight can provide information for:

  - Front line staff
  - Human Resources
  - Loan Officer
  - Board & Volunteers
  - Executive Staff
  - Marketing
  - Collections
  - Lending
  - And MORE!

- There is no limit on the number of users that can access the system!

Relax! InfoSight has state-specific compliance content for all 50 states!

- Easy-to-Understand Summaries and Information

- Customizable Dashboard

- Checklists

- State-Specific Content

- Links to Laws, Regulations, and additional Resources

- RISK Alerts

- Videos

- Compliance Calendar

- FAQs (over 850)

- Check Deposit Notice Generator

- Account Insurance Estimator

Currency Transaction Reports

Last Reviewed: November 2023

There are several reporting requirements for credit unions and other financial institutions under the BSA. Among these requirements is the Currency Tra... Report (CTR), must be electronically filed at the BSE E-Filing System Website.

All financial institutions, including every credit union, must file a currency transaction report (CTR) on each deposit, withdrawal, payment, transfer or other transactions involving currency (cash) of more than $10,000.00. If multiple transactions are by or on behalf of any person, the credit union must aggregate transactions and report them as one transaction if the total exceeds $10,000.00. If deposits are made at night or over a weekend or holiday, that transacti... must be treated as if it was made on the next business day.

- Summary
- Checklist
- FAQs
- Laws & Regulations
- Additional Resources

InfoSight Listing by State

- Alabama
- Alaska
- Arizona
- Arkansas
- California
- Colorado
- Connecticut
- Delaware
- Florida
- Georgia
- Hawaii
- Idaho
- Illinois
- Indiana
- Iowa
- Kansas
- Kentucky
- Louisiana
- Maine
- Maryland/District of Columbia
- Massachusetts
- Michigan
- Minnesota
- Mississippi
- Missouri
- Montana
- Nebraska
- Nevada
- New Hampshire
- New Jersey

InfoSight

Home > Compliance Topics > Fraud > Fraud Introduction

Fraud Introduction

Last Reviewed: August 2024

Financial institutions must implement measures to prevent, detect, and deter fraud to mitigate losses. Credit unions are also expected to help p... members against fraud. It is critical to stay aware of fraud trends, educate credit union employees and members, and implement proper anti-fr...

Overview	+
Common Fraud Scams	+
Reporting Fraud	+
Top Reported Fraud	+
Warning Signs	+
Laws & Regulations	+
Additional Resources	+
Model Policies	+

Fraud Topics:

The following topics are covered in the Fraud Channel:

- **Fraud Introduction**
- **Check Fraud**
- **Cyber-Enabled Fraud**
- **Elder and/or Vulnerable Adult Protections**
- **Internal Fraud**
- **Risk Management**
- **Training - Credit Union Fraud Symposium**
- **Wire Transfers**

6

- CU PolicyPro is offered in many states as a member benefit for free or deeply discounted and helps to further strengthen the credit union's compliance efforts.
- Provides access to 230+ completely developed model policies and procedures, researched and written by Credit Union experts.
- Provides sample forms, documents, and other resources too!
- Designed for federal regulatory compliance.
- Complete Policy Management System including auditing tools, updated information, secured distribution of policies, and policy review assignment capabilities.

- **Nationwide**
  - 3400 + credit unions are registered for CU PolicyPro
  - Credit Unions from all 50 states are using CU PolicyPro

CUPolicyPro

CUPolicyPro RecoveryPro

League InfoSight Credit Union Manual

Logged in as: Glory LeDu (Staff)   Access Level: Admin   Change Password

Home
Resources
Support
Administration
Infosight
Log Out

📖 Content

Function	CU PolicyPro	RecoveryPro
View Model Content	📋	📋
View Published Documents	⬆	⬆
Edit Content	✎	✎

📰 Latest News

The last **RecoveryPro** content update included the addition of a comprehensive **Cyber Incident** section, developed to address NCUA's heightened emphasis on cyber events and Business Continuity Planning (BCP). The following recorded webinar takes a deep dive into the new content, including procedures for detecting, containing, and recovering from cyberattacks, along with communication strategies for notifying key stakeholders.
Cyber Incident Content Overview Recording

✓ Assignments

There is nothing currently assigned to you.

- Model content is located directly from the dashboard!

- Trainings are offered on new/hot topics.
- Monthly newsletters are distributed with relevant content updates/information.

- 230+ **Model Policies and Procedures** organized into 11 chapters.

RecoveryPro

- RecoveryPro is an online system which guides credit unions through the creation, maintenance, and testing of robust business continuity plans (BCPs).

- Model content, checklists, worksheets, business process summaries and more are provided and can be completely customized to your credit union's operations.

- Uses the same technology as CU PolicyPro, therefore has a complete content management system including auditing tools, updated information, secured distribution of policies, and policy review assignment capabilities.

- Pricing is based on asset size and is heavily discounted for affiliated credit unions (as low as $249 annually)!

Superheroes are great in a crisis. RecoveryPro is the next best thing.

www.leagueinfosight.com/recoverypro

RecoveryPr✔

RecoveryPr✔

View RecoveryPro Model Content

Search Model Content 🔍

Expand All | Collapse All

1000: Incident Management ▸

2000: Business Continuity Plan ▸

3000: Systems Recovery ▸

4000: Plan Validation and Maintenance ▸

5000: Business Impact Analysis ▸

6000: Risk Assessment ▸

7000: Business Continuity Policies ▸

- 2700: Business Process Summaries
  - 2700-A: Business Process Summary - BLANK TEMPLATE
  - 2710: Finance & Accounting
    - 2710.10: Business Process Summary - Regulatory Reporting (if not inclu
    - 2710.11: Business Process Summary - Accounts Payable
    - 2710.12: Business Process Summary - Annual Budget (if not included in F
    - 2710.13: Business Process Summary - Financial Reporting
    - 2710.14: Business Process Summary - GL Reconciliation
    - 2710.15: Business Process Summary - Board Reporting (if not included in
  - 2720: Human Resources
    - 2720.10: Business Process Summary - Payroll
    - 2720.11: Business Process Summary - HR Functions
    - 2720.12: Business Process Summary - Training (if not included in Human
  - 2730: Information Technology
    - 2730.10: Business Process Summary - IT Security
    - 2730.11: Business Process Summary - Manage IT Infrastructure
    - 2730.12: Business Process Summary - Manage Telecommunications
    - 2730.13: Business Process Summary - IT Support
  - 2740: Lending
    - 2740.10: Business Process Summary - Consumer Lending
    - 2740.11: Business Process Summary - Indirect Lending
    - 2740.12: Business Process Summary - Loan Servicing
    - 2740.13: Business Process Summary - Member Business Loans
    - 2740.14: Business Process Summary - Mortgage Lending
  - 2750: Management-Administration
    - 2750.10: Business Process Summary - Communications
    - 2750.11: Business Process Summary - Incident Management
    - 2750.12: Business Process Summary - Decision-Making Authority
    - 2750.13: Business Process Summary - Facilities Management
    - 2750.14: Business Process Summary - BSA
    - 2750.15: Business Process Summary - Administer Business Continuity Pr
    - 2750.16: Business Process Summary - Manage Liquidity/Investments
    - 2750.17: Business Process Summary - Regulatory Compliance
    - 2750.18: Business Process Summary - Vendor Management
  - 2760: Marketing
    - 2760.10: Business Process Summary - Manage Social Media
    - 2760.11: Business Process Summary - Marketing Campaigns
    - 2760.12: Business Process Summary - Marketing Events
  - 2770: Member Service
    - 2770.10: Business Process Summary - Check Processing
    - 2770.11: Business Process Summary - Member Transactions

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- 1500: Incident Management Process
  - 1510: Notification/Escalation
  - 1520: Command Center Establishment
  - 1530: Incident Monitoring
  - 1540: Decision Making
  - 1550: Communications
  - 1551: Communications Templates
  - 1560: Event/Incident Postmortem Activity
- 1600: Cyber Incident Response
  - 1610: Introduction
  - 1620: Process Flow Chart - Cyber Incident
  - 1630: Response Team Roles & Responsibilities
  - 1640: Cyber Incident Contacts
- 1650: Cyber Incident Response Process
  - 1651: Detection and Analysis
  - 1652: Containment, Eradication & Recovery
  - 1653: Post Incident Activity
  - 1654: Cyber Incident Reporting
  - 1655: Member Notifications
  - 1656: Communications
  - 1657: Cyber Incident Communications Template
- 1660: Cyber Incident Resources
  - 1661: Cyber Incident Response Wallet Cards
  - 1662: Common Types of Cyber Incidents and Response Planning
- 1670: Cyber Incident Resources - Appendix
  - 1671: Cyber Incident Scenario Examples
  - 1672: Cyber Incident Planning Recommendations
  - 1673: Full Cyber Incident Life Cycle

AffirmX

- Required audit packages (BSA, ACH, SAFE Act, and Website Compliance Reviews).
- Resource Subscription including Risk Assessments (BSA/OFAC, ACH, Remote Worker, Social Media, Cybersecurity, and more).
- Internal audit services/outsourcing to protect against fraud and ensure safe and sound internal operations.
- Full-service customizable compliance management options for all asset sizes and needs.
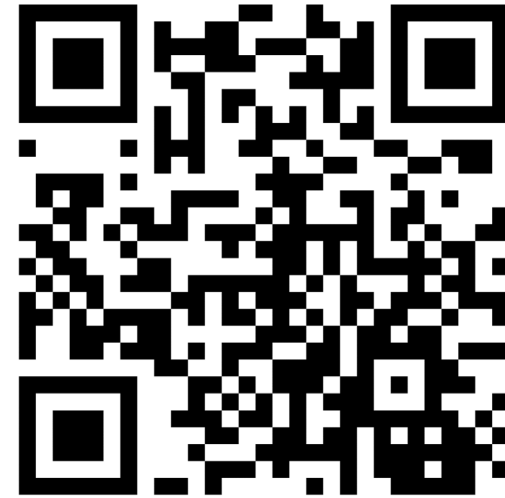
ComplySight®

- Comprehensive complaint management tool.
- Self-driven compliance management system.

CUVM

- Vendor management and regulatory due diligence with a dedicated analyst.
- Collection of due diligence documentation for all critical vendors annually.

Want more Information?

- **Reach out to your League/Association! They have strong partnerships offering many different tools and resources that can assist with Fraud!**

- I know this is a Fraud Symposium and we are using a QR code that has recently become a fraud mechanism… it's legit, but if you want to learn more you can always email us at: info@leagueinfosight.com!

- **Your League/Association Website!**

- https://www.leagueinfosight.com/

- https://www.curiskintelligence.com/

- https://cuvm.org/

Thank You